How to complete the Cobalt Reporting Template

CRT Rev. 2.11

June 2020
Japan Electronics and Information Technology
Industries Association (JEITA)
-Responsible Minerals Trade Working Group-

Table of Contents

Where necessary, please refer to "How to Complete the CMRT."

While there are no laws or regulations like the Dodd–Frank Act for cobalt, this survey arose from concerns over unsafe working conditions and child labour in artisanal cobalt mining.

This is an excerpt from the explanation on the RMI (Responsible Minerals Initiative) website
http://www.responsiblemineralsinitiative.org/emerging-risks/cobalt/

The Democratic Republic of the Congo (DRC) is the world's largest producer of cobalt and holds more than 50 percent of the global cobalt reserves. Cobalt is used in lithium-ion batteries that form an integral part of electric automobiles, mobile phones and laptop computers. Demand for cobalt is expected to rise significantly over the coming years. Cobalt is extracted in mechanized and artisanal mining operations. Multiple reports have highlighted concerns over social and environmental impacts of cobalt extraction, including child labor and unsafe working conditions in artisanal cobalt mining.
The RMI added cobalt as a dedicated focus area in 2017. We work to create the enabling conditions for companies to exercise due diligence over cobalt supply chains in accordance with the OECD Due Diligence Guidance.

1. Cobalt Supply Chain Survey: CMRT and CRT comparison

Item	CMRT Rev. 6.01		CRT Rev. 2.11
Background/law	DFA Section 1502	EU Conflict Mineral Regulation	No compliance requirement Growing international interest in human rights issues, etc.
Issuance date	Applied as of August 2012	Applied as of January 2021	NA
Subject of regulations	US listed companies	Companies importing metals into the EU	NA
Metals covered	3TG Tantalum, Tin, Tungsten, Gold		Cobalt
Regions covered	DRC and the nine adjoining countries	CAHRAs[*1]	CAHRAs[*1]
Risks covered	Source of funds for armed groups	OECD Annex II Risks[*2]	OECD Annex II Risks[*2]

*1, *2 explained in later pages

1. Cobalt Supply Chain Survey: OECD Annex II Risks

OECD Annex II Risks refer to the risks in Annex II in OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas.[1]

OECD Annex II Risks:

1. Serious abuses associated with the extraction, transport or trade of minerals (child labor, etc.)
2. Direct or indirect support to non-state armed groups
3. Public or private security forces
4. Bribery and fraudulent misrepresentation of the origin of minerals
5. Money laundering
6. Payment of taxes, fees and royalties due to governments

[1] Available for download on the OECD website

http://www.oecd.org/corporate/mne/mining.htm
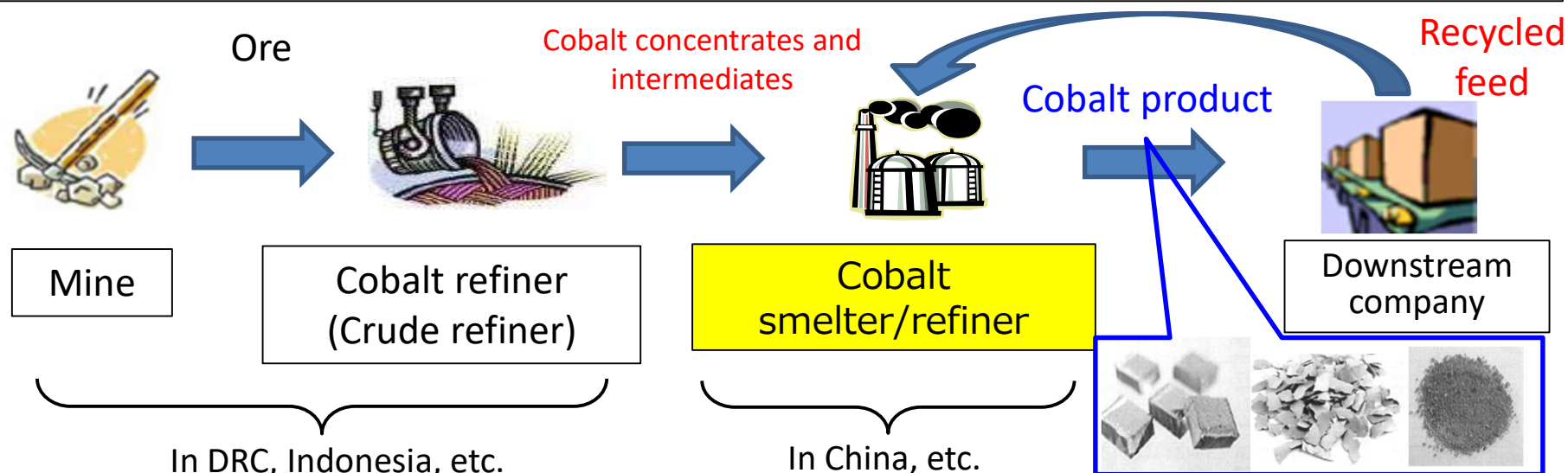
Conflict-Affected and High-Risk Areas (CAHRAs)

Conflict-affected and high-risk areas are identified by the presence of armed conflict, widespread violence or other risks of harm to people. Armed conflict may take a variety of forms, such as a conflict of international or non-international character, which may involve two or more states, or may consist of wars of liberation, or insurgencies, civil wars, etc. *High-risk areas* may include areas of political instability or repression, institutional weakness, insecurity, collapse of civil infrastructure and widespread violence. Such areas are often characterised by widespread human rights abuses and violations of national or international law.

The CAHRAs remain conceptual at this point, with the EU yet to specify particular regions as of 25 May 2020. The RMI standard, however, stipulates that the CAHRAs shall include, at a minimum, the Covered Countries under the Dodd-Frank Act.

1. Cobalt supply chain survey: Cobalt smelter definition

The RMI defines a cobalt smelter/refiner as "an entity that processes cobalt concentrates, intermediates or recycled feed and produces a cobalt product for direct use in a downstream manufacturing process." Downstream manufacturers therefore need to identify smelters at all these stages of the supply chain. However, because crude refiners close to mines that refine metals from ore have recently begun receiving RMAP[1] assessments, they are beginning to appear on the Active Smelter list, etc.
(The cobalt industry and RMI use "smelter" and "refiner" interchangeably.)

Cobalt intermediates: Partially refined hydroxides, carbonates, and metals, etc.
Cobalt recycled feed: Industrial scrap and scrap from consumer goods scrap, etc.
Cobalt products: Electrical cobalt, cobalt sulfate, cobalt briquettes, cobalt powder, etc.

Ore | Cobalt concentrates and intermediates | Cobalt product | Recycled feed

Mine — Cobalt refiner (Crude refiner) — Cobalt smelter/refiner — Downstream company

In DRC, Indonesia, etc. | In China, etc.

[1] RMAP is the RMI's Responsible Minerals Assurance Process.

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This refers to the number of smelters recognized as **S**tandard **S**melter **N**ames by the RMI as of 13 May 2020 and their conformant status. The list does not cover all smelters worldwide.

Cobalt Co

SSN total: 55 smelters (of which 11 are crude refiners
Conformant: 5 (9%)
Active: 22 (of which 3 are crude refiners)

There are 5 conformant smelters, representing 9% of the total.
There are 22 active smelters (scheduled for assessment)of which 3 are crude refiners.
Assessments are running behind schedule because of COVID 19.

2. Outline of CRT Rev. 2.11: Structure

The CRT comprises an Excel file with 8 sheets:

Revision, Instruction, Definitions, Declaration, Smelter List,
Checker, Product List, and Smelter Look-up
（The role of each sheet will be explained on the next page.）

Cobalt Reporting Template (CRT)

English

Language choices are restricted to English, Japanese, Chinese and Korean

Confirm revision no.

Revision 2.11
May 19, 2020
Link to Terms & Conditions

The purpose of this document is to collect sourcing information on cobalt.

Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.

Company Information

Company Name (*):	
Declaration Scope or Class (*):	
Description of Scope:	
Company Unique ID:	
Company Unique ID Authority:	
Address:	
Contact Name (*):	
Email – Contact (*):	
Phone – Contact (*):	
Authorizer (*):	
Title - Authorizer:	
Email - Authorizer (*):	
Phone - Authorizer (*):	
Effective Date (*):	

Revision | Instructions | Definitions | Declaration | Smelter List | Checker | Product List | Smelter Look-up

You need to fill in THREE sheets: Declaration, Smelter List, and Product List.

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Role of each sheet

- Revision   Record of revisions
- Instructions   Explanation of background and how to fill in the sheets
- Definitions   Definitions of terminology
- Declaration   Company information (Q1-6 and A-I)   <REQUIRED>
- Smelter List   List of smelters (refiners)   < WHERE NECESSARY[*1] >
- Checker   Checks that all the necessary information has been filled in
  <CHECK REQUIRED[*2] >
- Product List   List of target products   <WHERE NECESSARY[*3]>
- Smelter Look-up Smelter Look-up list[*4]
            (List of smelters (refiners) at the time of CRT issuance)

Notes:
   [*1] Fill in for those metals to which you responded "Yes" for Q1
   [*2] Fill in any areas marked in red on the Checker Sheet
   [*3] Fill in where the scope is "B: Product"
   [*4] List of smelters (refiners) at the time when the latest CRT was issued. The latest list can be found on the RMI website.

     * Please read the instructions and definitions before filling in the form.

2. Changes to CRT Rev. 2.11

Rev. 1.1 (issued 21 December 2018)
Rev. 2.0 (issued 30 October 2019)
➔ Rev. 2.11*1 (issued 19 May 2020; latest version)

Smelter List update and bug fixes only

*1 Rev. 2.1 was initially issued on May 13, 2020 but revised immediately due to bugs.

✓ Bug on Line 57 of the Checker in CRT Rev. 1.1 fixed

✓ The following bugs in CRT Rev. 2.11 can be ignored
- If you choose A. Company for Declaration Scope and Class on the Declaration sheet, Product List Line 56 in the Checker will be highlighted in red.

- In Row C in the Smelter List (Smelter Look-up), if you select "Smelter not yet identified," Row D (Smelter Name) will be grayed out and Row E (Smelter Location) will remain blank. (According to the Instructions (Smelter Look-up, Line 49), in this case "Unknown" will be automatically entered.)

You can follow the same instructions for Rev. 2.11 as for Rev. 1.1.
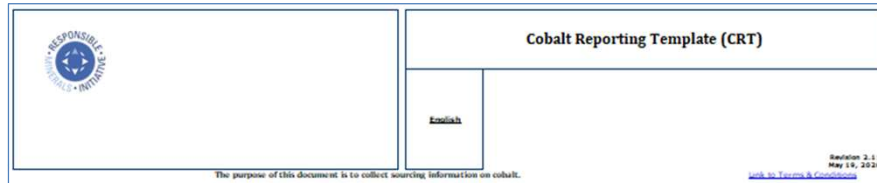
The Declaration Sheet is composed of three blocks.

Ⓐ 14 company information items

Ⓑ 6 questions related to the status of your cobalt use (summary of primary supplier information)

Ⓒ 9 questions related to your cobalt sourcing policies and actions

Company Information	
Company Name (*):	
Declaration Scope or Class (*):	
Description of Scope:	A. Company B. Product (or List of Products) C. User defined [Specify in 'Description of scope']
Company Unique ID:	
Company Unique ID Authority:	
Address:	
Contact Name (*):	
Email – Contact (*):	
Phone – Contact (*):	
Authorizer (*):	
Title - Authorizer:	
Email - Authorizer (*):	
Phone - Authorizer (*):	
Effective Date (*):	

All items marked with an asterisk must be completed (highlighted in yellow)

Use the pulldown menu to choose from options A to C. The party making the report (your company) decides the Description of Scope, but in some cases it is decided by the customer.

DD-MMM-YYYY format
Example: 01-May-2020

A. Company   Applies to ALL your company's products
B. Product   Applies only to SOME of your products
                    → Fill in the Product List Sheet
C. User defined   Applies within the scope defined by your company
                    → Specify the product scope in the "Description of scope" field

If you choose B. Product (or List of Products), the line "Go to Product List tab to enter products this declaration applies to" will appear as below, along with a "Click here" instruction under B. Product.

	Company Information
Company Name (*):	
Declaration Scope or Class (*):	B. Product (or List of Products)
Go to Product List tab to enter products this declaration applies to	
	Click here to enter the products this declaration applies to

Clicking as instructed will take you to the Product List Sheet, where you will fill in the manufacturer's product number, etc. (details on the next page).

Completion required only if reporting level "Product (or List of Products)" selected on the 'Declaration' worksheet.

Click here to return to Declaration tab

Manufacturer's Product Number (*)	Manufacturer's Product Name	Comments

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| Revision | Instructions | Definitions | Declaration | Smelter List | Checker | Product List | Smelter Look-up | ⊕ |

3. How to Complete the CRT:
3.1 Declaration Sheet Ⓑ Cobalt Use Status

Answer each question using the pulldown menu. If you answered "No" to Q1, you don't need to respond from Q2 onward.

Answer the following questions 1 - 6 based

1) Is any of the cobalt intentionally added or used in the product(s) or in the production process?

Cobalt(*) | Answer |

2) Do any of the smelters in your supply chain source the cobalt from a Conflict-Affected and High-Risk area? (OECD Due Diligence Guidance, see definitions tab) (*)

Cobalt(*) | Answer |

3) Does 100 percent of the cobalt originate from recycled or scrap sources? (*)

Cobalt(*) | Answer |

4) What percentage of relevant suppliers have provided a response to your supply chain survey?(*)

Cobalt(*) | Answer |

5) Have you identified all of the smelters supplying the cobalt to your supply chain? (*)

Cobalt(*) | Answer |

6) Has all applicable smelter information received by your company been reported in this declaration? (*)

Cobalt(*) | Answer |

1) Is any of the cobalt intentionally added or used in the product(s) or in the production process?

2) Do any of the smelters in your supply chain source the cobalt from a Conflict-Affected and High-Risk area?

3) Does 100 percent of the cobalt originate from recycled or scrap sources?

4) What percentage of relevant suppliers have provided a response to your supply chain survey?

5) Have you identified all of the smelters supplying the cobalt to your supply chain?

6) Has all applicable smelter information received by your company been reported in this declaration?

1) Is any of the cobalt intentionally added or used in the product(s) or in the production process?

Yes Answer "**Yes**" **if cobalt has been intentionally added or used because it is necessary to the functionality, specifications, or production of a product, regardless of the amount.**

No **Answer "No" if cobalt has NOT been intentionally added or used, even if products include trace-level cobalt contaminants.**

Unknown **If you don't know whether cobalt has been intentionally added or used, answer "Unknown."**

1. If even one of the CRTs you have collected from suppliers answers "Yes", your answer here must also be "Yes."
2. If all of the CRTs you have collected from suppliers answer "No, your answer will be "No."
3. In cases other than the above, answer "Unknown."

If you answered "Yes" to Q1, you must also answer Questions 2-6 and A-I.

2) Do any of the smelters in your supply chain source the cobalt from a Conflict-Affected or High-Risk Area? （OECD Due Diligence Guidance, see definitions tab）

Yes   If your smelters source from a CAHRA (describe in Comments field)
No   If your smelters don't source from a CAHRA
Unknown   If you don't know whether your smelters source from a CAHRA
DRC or adjoining countries only   If your smelters source only from the DRC or adjoining countries

If there is a broad range of answers in the CRTs you have collected, use the Comments field as shown on the right.

DRC+9: DRC or adjoining countries only

Collation of multiple CRTs				Your company's answer	
Yes	No	Unknown	DRC+9	Answer	Comments
○	○	○	○	Yes	including DRC+9
○	○	○		Yes	
○	○		○	Yes	including DRC+9
○		○	○	Yes	including DRC+9
○	○			Yes	
○		○		Yes	
○			○	Yes	including DRC+9
	○	○		Unknown	
	○		○	DRC+9	
		○	○	DRC+9	including Unknown
	○	○	○	DRC+9	including Unknown

3) Does 100 percent of the cobalt originate from recycled or scrap
sources?

Yes   If 100% of the cobalt comes from recycled or scrap sources
No   Some of the cobalt comes from natural (mined) resources
Unknown Unclear whether 100% of the cobalt comes from recycled or
             scrap sources

If all of the suppliers from which you have received a CRT answer "Yes," your answer will be "Yes." If even one answers "No", your answer here must also be "No."

4) What percentage of relevant suppliers have provided a response to your supply chain survey?

Choose one of the following options according to the percentage of disclosures received from all relevant suppliers.

- 100%
- Greater than 90%
- Greater than 75%
- Greater than 50%
- 50% or less
- None

※ Regardless of CRT Question 4 answers received from suppliers

Suppliers excluded from the scope of the CRT
- Suppliers of equipment, tools, consumables, and other products outside the scope of the CRT
- Suppliers or parts and materials which clearly do not contain cobalt

Note: Surveys must be continued until the response rate is 100%.

5) Have you identified all of the smelters supplying the cobalt to your
   supply chain?

Yes   If you have identified all of the smelters
No   If you have not identified even one supplier supplying the cobalt to your
          supply chain
Unknown   If you don't know whether you have identified all the smelters

You can only answer "Yes" if you meet all four of the conditions below:

① You have received CRTs from all the relevant suppliers (=your answer
   to Q4 was 100%).
② The answer to Q4 in all the CRTs you received was 100%.
③ The answer to Q5 in all the CRTs you received was "Yes."
④ The answer to Q6 in all the CRTs you received was "Yes."

You must also have identified all smelters through your due diligence.

20/40

6) Has all applicable smelter information received by your company been reported in this declaration?

Yes   You have reported all applicable smelter information received by your company

No   You have not reported some of the applicable smelter information received by your company。

Unknown   You don't know (You should not need to select this option.)

The answer will usually be "Yes," but it may be "No" if you have not reported all the applicable information because of an obligation to protect supplier confidentiality, etc.

Choose the pulldown to answer each of the nine questions on your company's policies and actions in relation to cobalt sourcing.

Question

A. Have you established a publicly available cobalt sourcing policy?(*)

B. Does your policy cover, at a minimum, all risks in the OECD Due Diligence Guidance Annex II Model Policy, as well as the worst forms of child labor? (*)

C. Have you implemented due diligence measures for cobalt in the declaration scope indicated above? (*)

D. Do you require suppliers to exercise due diligence over the cobalt supply chain in accordance with the OECD Due Diligence Guidance? (*)

E. Do you require your direct suppliers to source cobalt from smelters whose due diligence practices have been validated by an independent third party audit program? (*)

F. Do you require suppliers' due diligence practices to cover, at a minimum, all risks in the OECD Due Diligence Guidance Annex II Model Policy, as well as the worst forms of child labor? (*)

G. Does your company conduct cobalt supply chain survey(s) of your relevant supplier(s)? (*)

H. Do you review due diligence information received from your suppliers against your company's expectations? (*)

I. Does your review process include corrective action management? (*)

Unrelated to disclosures received from suppliers

A. Have you established a publicly available cobalt sourcing policy?

B. Does your policy cover, at a minimum, all risks in the OECD Due Diligence Guidance Annex II Model Policy, as well as the worst forms of child labor?

C. Have you implemented due diligence measures for cobalt in the declaration scope indicated above?

D. Do you require suppliers to exercise due diligence over the cobalt supply chain in accordance with the OECD Due Diligence Guidance?

E. Do you require your direct suppliers to source cobalt from smelters whose due diligence practices have been validated by an independent third party audit program?

F. Do you require suppliers' due diligence practices to cover, at a minimum, all risks in the OECD Due Diligence Guidance Annex II Model Policy, as well as the worst forms of child labor?

G. Does your company conduct cobalt supply chain survey(s) of your relevant supplier(s)?

H. Do you review due diligence information received from your suppliers against your company's expectations?

I. Does your review process include corrective action management?

A. Have you established a publicly available cobalt sourcing policy?

Yes   If your company has established such a policy

No   If your company has NOT established such a policy

B. Does your policy cover, at a minimum, all risks in the OECD Due Diligence Guidance Annex II Model Policy, as well as the worst forms of child labor?

Yes   Yes, it does

No   No, it doesn't

> See next page for details on what comprises "the worst forms of child labor."

International Labour Organization (ILO)

C182 – Worst Forms of Child Labour Convention, 1999

https://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_ILO_CODE:C182

(a) all forms of slavery or practices similar to slavery, such as the sale and trafficking of children, debt bondage and serfdom and forced or compulsory labour, including forced or compulsory recruitment of children for use in armed conflict;

(b) the use, procuring or offering of a child for prostitution, for the production of pornography or for pornographic performances;

(c) the use, procuring or offering of a child for illicit activities, in particular for the production and trafficking of drugs as defined in the relevant international treaties;

(d) work which, by its nature or the circumstances in which it is carried out, is likely to harm the health, safety or morals of children.

C. Have you implemented due diligence measures for cobalt in the declaration scope indicated above?

Yes   If you have implemented such measures
No   If you have not implemented such measures

D. Do you require suppliers to exercise due diligence over the cobalt supply chain in accordance with the OECD Due Diligence Guidance?

Yes   If you require suppliers to exercise due diligence
No   If you do not require suppliers to exercise due diligence

E. Do you require your direct suppliers to source cobalt from smelters whose due diligence practices have been validated by an independent third party audit program?

Yes   Yes, you do.
No   No, you don't

F. Do you require suppliers' due diligence practices to cover, at a minimum, all risks in the OECD Due Diligence Guidance Annex II Model Policy, as well as the worst forms of child labor?

Yes   Yes, you do.
No   No, you don't

G. Does your company conduct cobalt supply chain survey(s) of your relevant supplier(s)?

Yes, CRT   If you conduct cobalt supply chain surveys of your relevant
                suppliers using the CRT format

Yes, Using Other Format (Describe)
                If you conduct surveys of your relevant suppliers using
                another format (describe in Comments field)

No   If you don't conduct cobalt supply chain surveys

H. Do you review due diligence information received from your suppliers against your company's expectations?

Yes   If you do review due diligence information received from your suppliers against your company's expectations

No   If you don't

I. Does your review process include corrective action management?

Yes   If your review process does include corrective action management

No   If it doesn't

3. How to Complete the CMRT:
3.2 Smelter List Sheet ―Structure―

On the Smelter List, you enter information on all smelters in your supply chain. The sheet comprises 17 items.

1. Smelter Identification Number Input Field
2. Metal (*)
3. Smelter Look-Up (*)
4. Smelter Name (1)
5. Smelter Country (*)
6. Smelter Identification
7. Source of Smelter Identification Number
8. Smelter Street
9. Smelter City

10. Smelter Facility Location: State / Province
11. Smelter Contact Name
12. Smelter Contact Email
13. Proposed next steps
14. Name of Mine(s) or if recycled or scrap sourced, enter "recycled" or "scrap"
15. Location (Country) of Mine(s) or if recycled or scrap sourced, enter "recycled" or "scrap"
16. Does 100% of the smelter's feedstock originate from recycled or scrap sources?
17. Comments

(*) Compulsory fields

3. How to Complete the CMRT:
3.2 Smelter List Sheet －Preparation－

Before filling in the Smelter List, please make the following preparations:

✓ List all the smelters from which you have received CRTs

✓ Use CID numbers, etc., to remove duplications (name identification)

✓ If your list contains non-SSN smelters without CID numbers, check on their website, etc., that they really are operating smelters (due diligence)

✓ Delete trading companies and other businesses which are clearly not smelters

In some cases, smelters that were SSNs may have become non-SSNs. For example, they may have:
- Ceased to operate as a smelter
- Amalgamated with another smelter
- Been discovered not to be a smelter

The SSNs on the Smelter Look-up Sheet are as at the time of CRT issuance, and there are some cases where the RMI has subsequently recognized a smelter as an SSN and given it a CID number (you can check this on the RMI website). Even in these cases, for the purposes of this CRT, the smelter will be deemed "Smelter not listed."

① If you already know the CID number

When you enter the CID number into Field A, Fields B, C, E, F, G, I, and J will be automatically populated.

We recommend copying and pasting CID numbers into Field A.

Enter the CID number here

Fields B, C, E, F, G, I, and J will be populated automatically

3. How to Complete the CMRT:
3.2 Smelter List Sheet −Data Entry−

② If you don't know the CID number

If you select cobalt in Field B and chose the appropriate smelter name in Field C, Fields E, F, G, I, and J will be populated automatically.

You can also copy and paste into Fields B and C, but if the data in Field C does not exactly match the data in the Smelter Look-up field on the Smelter Look-up Sheet, Fields E, F, G, I, and J will not be populated automatically even if it is the right the SSN (CID number).

③ If there is no selection option in Field C (non-SSN smelter)

Once you have selected cobalt in Field B, select "Smelter not listed" in Field C, and enter the smelter name in Field D and the smelter country in Field E. All these fields are compulsory, but please also populate Fields H-P to the best of your ability.

④ If you cannot identify the smelter

Select cobalt in Field B and chose "Smelter not yet identified" in Field C.

TO BEGIN:

Option A: If you know the Smelter Identification Number, input the number in Column A (columns B, C, E, F, G, I and J will auto-populate); D will grey out.

Option B: If you have a Cobalt and Smelter Look-up name combination, complete the following steps:
Step 1. Select Metal in column B
Step 2. Select from dropdown in column C

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Smelter Identification Number Input Column	Metal (*)	Smelter Look-up (*)	Smelter Name (1)	Smelter Country (*)	Smelter Identification Number	Source of Smelter Identification Number	Smelter Street	Smelter City	Smelter Facility Location: State / Province
②	Cobalt	Cosmo EcoChem Co., Ltd.		KOREA, REPUBLIC OF	CID003415	RMI		Ulsan	Ulsan-gwangyeoksi
③	Cobalt	Smelter Not Listed	ABCDEF	JAPAN		Enter smelter details			
④	Cobalt	Smelter Not Yet Identified							

If the Declaration Scope or Class is B. Product (or List of Products), you must complete the Product List.

Manufacturer's Product Number (compulsory): Enter the product numbers for the relevant products

Manufacturer's Product Name: Enter the product name

Comments: Enter comments where necessary

After completing the Declaration Sheet, Smelter List Sheet, and Product List Sheet, please use the Checker Sheet to check that you have populated all the necessary fields.

① **Select Checker Sheet to confirm your data entry.**
② **Areas in green are complete; areas in red are incomplete.**
③ **When the "Required fields remaining to be completed" value is 0, your data entry is complete.**

34/40

4. RMI Website: Outline

◆ RMI Home Page

  http://www.responsiblemineralsinitiative.org/

◆ Here you can access the following information (as of 13 May 2020)

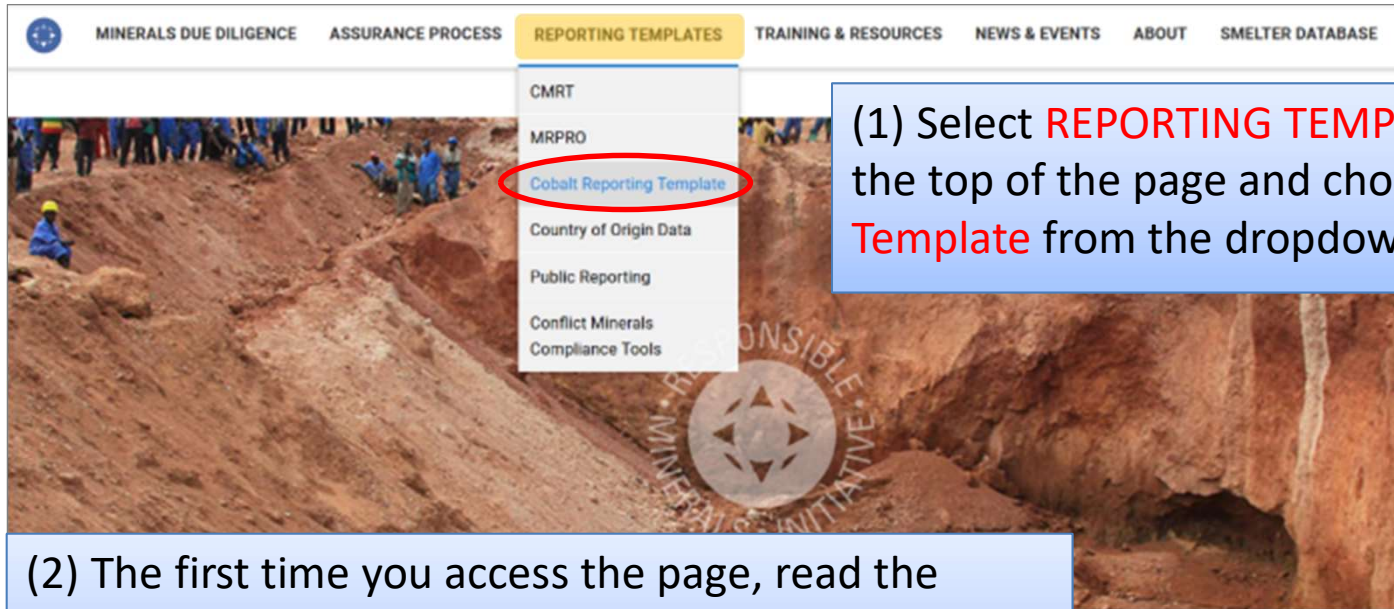 ①Download the CRT Template

  ② Cobalt Smelter/Refiners List

  a. Export the Smelter Reference List

  b. Download Conformant Cobalt Refiners or Active Cobalt Refiners
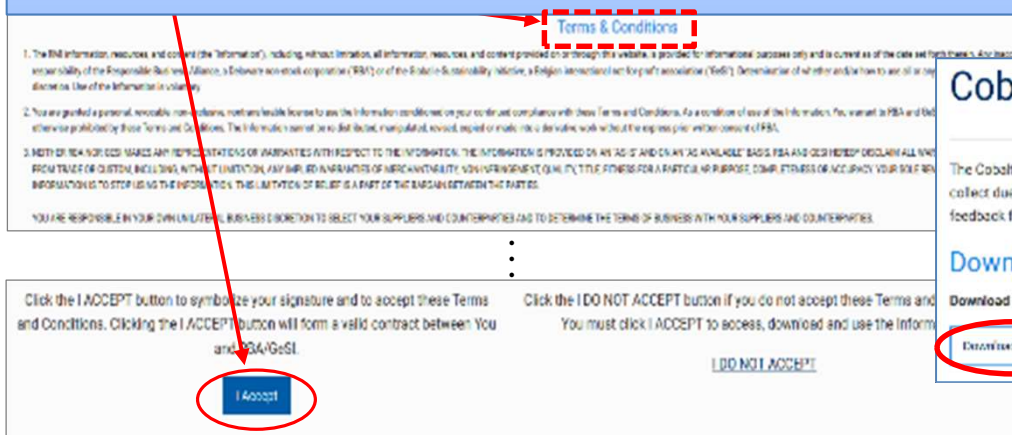
  ③ FAQ (Frequently Asked Questions)

① Download the CRT template

(1) Select REPORTING TEMPLATES from the tab at the top of the page and choose Cobalt Reporting Template from the dropdown menu.

(2) The first time you access the page, read the Terms & Conditions and agree by clicking I accept at the bottom.

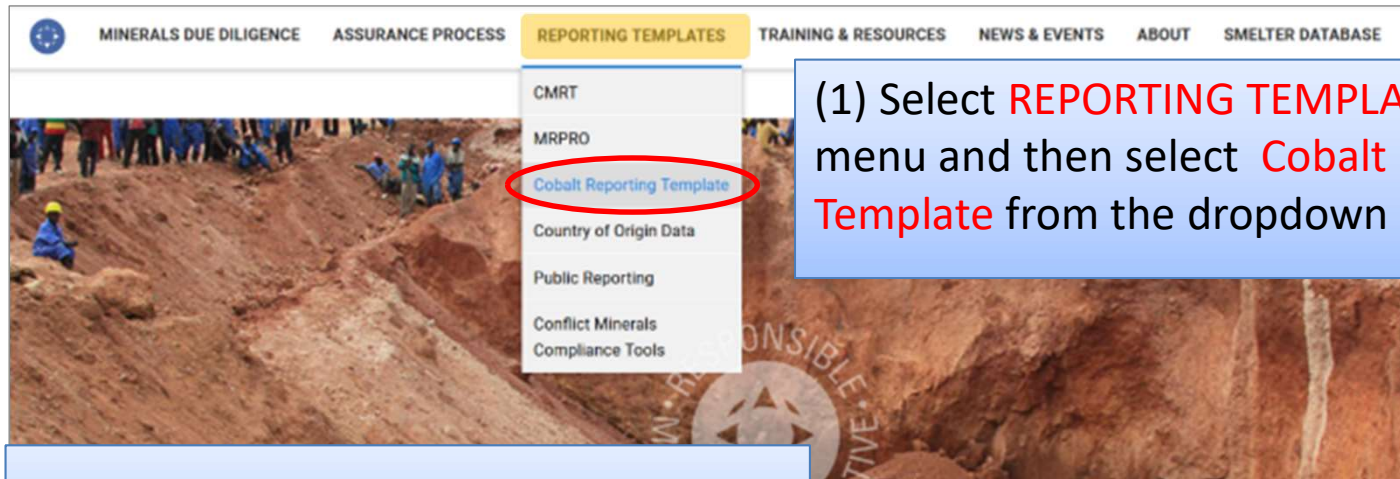(3) Click Download CRT.

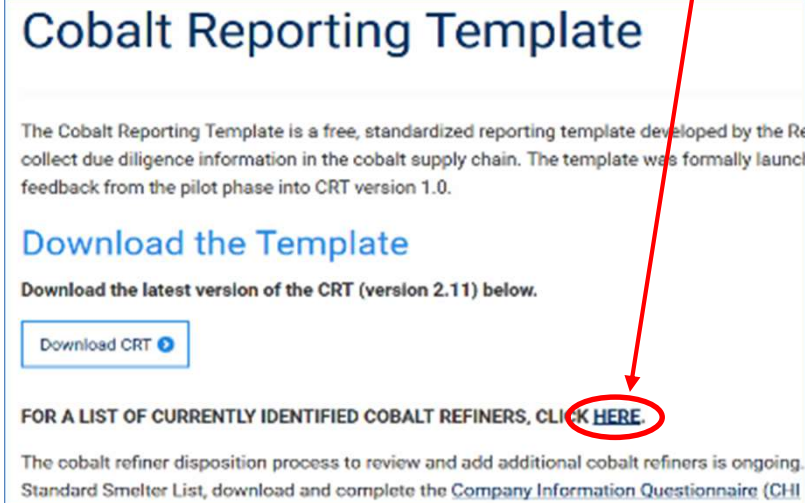## ② (a) Export the Smelter Reference List

| MINERALS DUE DILIGENCE | ASSURANCE PROCESS | REPORTING TEMPLATES | TRAINING & RESOURCES | NEWS & EVENTS | ABOUT | SMELTER DATABASE |

CMRT
MRPRO
Cobalt Reporting Template
Country of Origin Data
Public Reporting
Conflict Minerals Compliance Tools

(1) Select REPORTING TEMPLATES from the top menu and then select Cobalt Reporting Template from the dropdown menu.

(2) Under Cobalt Reporting Template, click HERE.

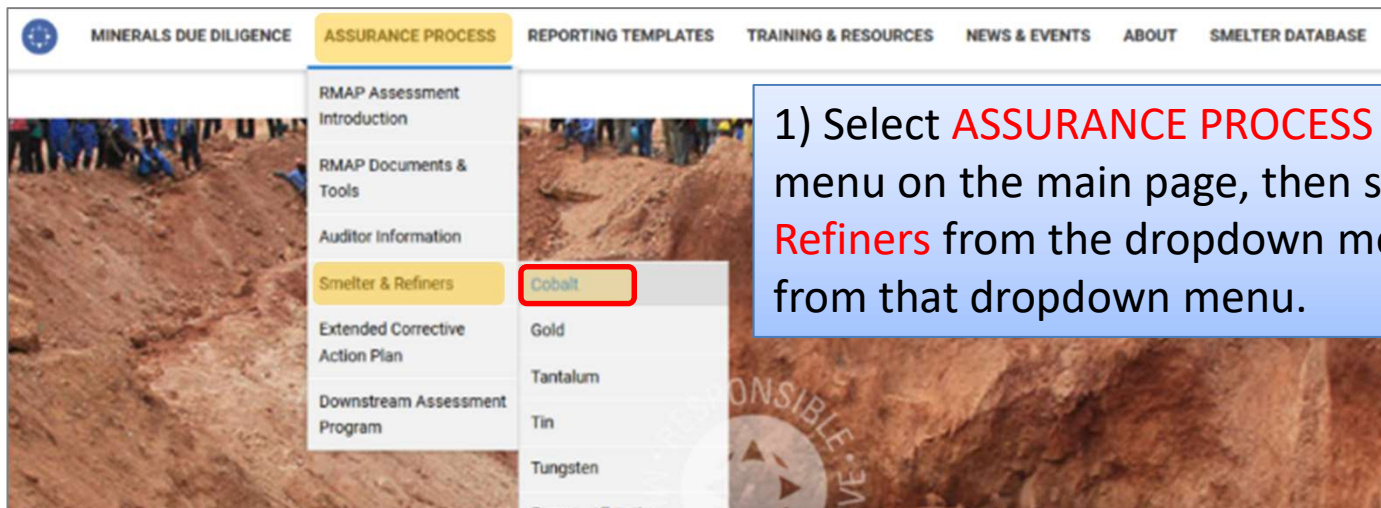(3) When the Cobalt Smelter Reference List comes up, click Download Data.

Cobalt Reporting Template

The Cobalt Reporting Template is a free, standardized reporting template developed by the Re collect due diligence information in the cobalt supply chain. The template was formally launc feedback from the pilot phase into CRT version 1.0.

Download the Template

Download the latest version of the CRT (version 2.11) below.

Download CRT ▶

FOR A LIST OF CURRENTLY IDENTIFIED COBALT REFINERS, CLICK HERE.

The cobalt refiner disposition process to review and add additional cobalt refiners is ongoing. Standard Smelter List, download and complete the Company Information Questionnaire (CHI

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Export the Smelter Reference List for the Cobalt Reporting Template

The following list represents the RMI's latest Cobalt smelter name / alias information. The presence of a smelter here is NOT a guarantee that it is currently Active or Conformant within the Responsible Minerals Assurance Process. For more information, please contact RMI Staff.

↓ Cobalt Smelter Reference List   Download Data

37/40

② (b) Download Conformant Cobalt Refiners or Active Cobalt Refiners

1) Select ASSURANCE PROCESS from the top menu on the main page, then select Smelter & Refiners from the dropdown menu, then Cobalt from that dropdown menu.

(2) Under Cobalt Refiners List, click View Conformant Cobalt Refiners or View Active Cobalt Refiners.

↓ **Active list**   ↓ **Conformant list**

Next page

(3) When your chosen Refiners list appears, click Download Data.

A CRUDE COBALT REFINER field was added to the Active List only on 13 May 2020. Crude cobalt refiners are refiners engaging in primary refining near the mine.
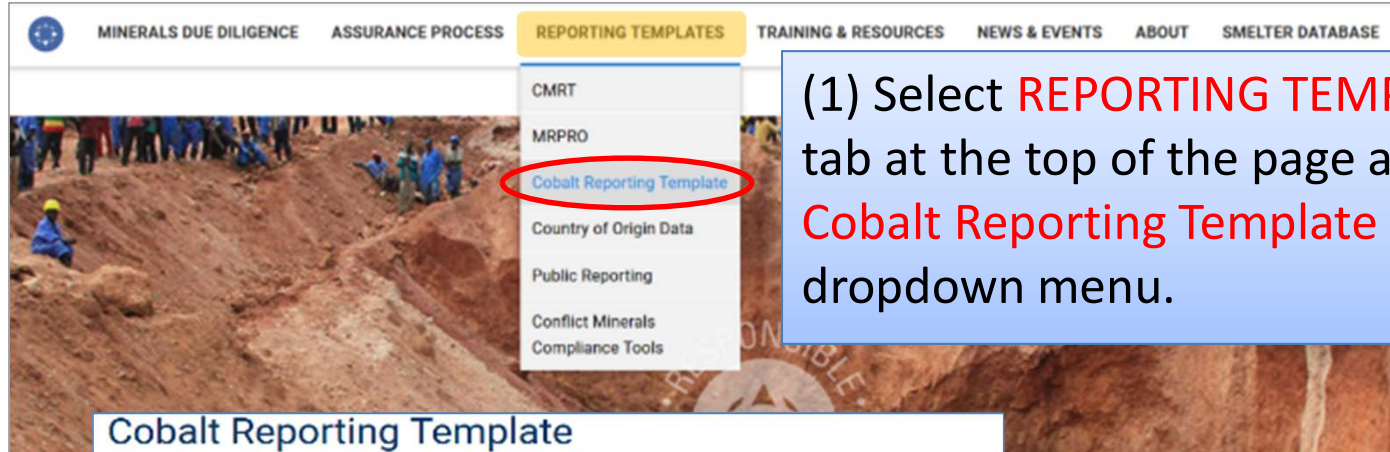
③ FAQ (Frequently Asked Questions)

(1) Select REPORTING TEMPLATES from the tab at the top of the page and choose Cobalt Reporting Template from the dropdown menu.
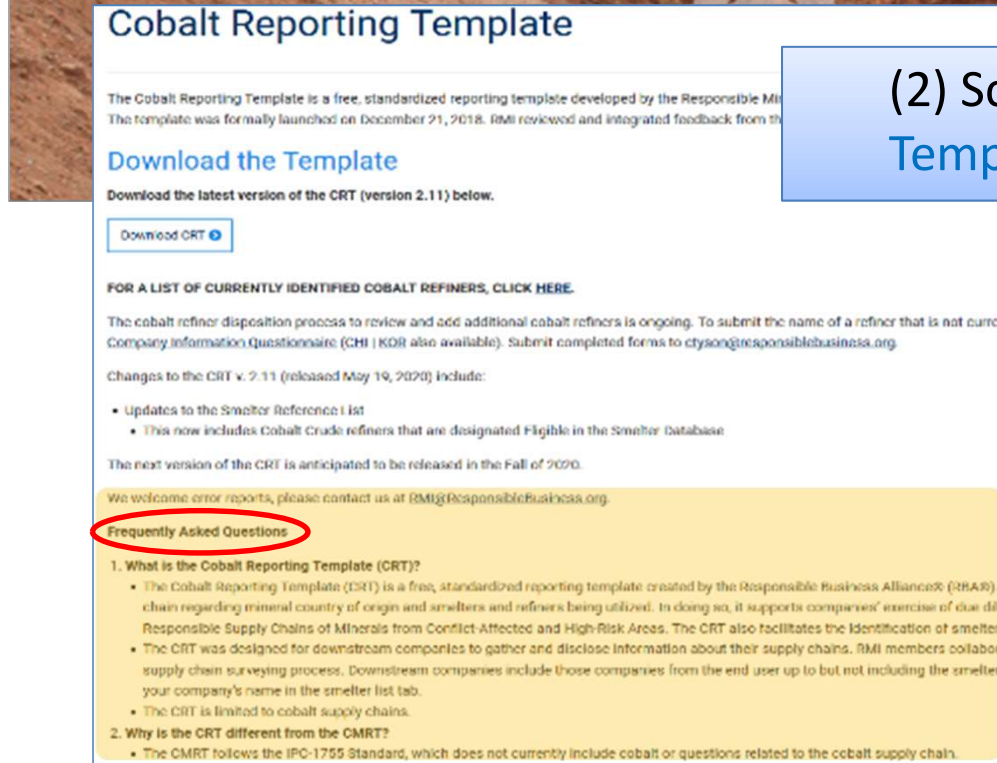
(2) Scroll down the Cobalt Reporting Template section to the FAQs.

Here are the FAQs